Agenda: October REVISED



## ALAMEDA COUNTY PROBATION DEPARTMENT

WENDY STILL, MAS Chief Probation Officer P.O. Box 2059 1111 Jackson Street Oakland, CA 94604-2059

September 30, 2016

Honorable Board of Supervisors Administration Building Oakland, CA 94612

# SUBJECT: AUTHORIZE A DATA SHARE AGREEMENT WITH THE NATIONAL COUNCIL ON CRIME & DELINQUENCY AND WESTAT RESEARCH INC.

Honorable Board Members:

### **RECOMMENDATION:**

Authorize the Chief Probation Officer to execute a data share agreement with the National Council on Crime and Delinquency (Principal: Kristen Johnson; Location: Oakland, CA) and Westat Research, Inc. (Principal: George Gabel; Location: Rockville, MD) for the purpose of participating in the Title IV-E Waiver Demonstration California Well-Being Demonstration Project for the period of 9/1/16 through 9/30/19.

#### **SUMMARY/DISCUSSION:**

The Title IV-E Waiver Demonstration California Well-Being Demonstration Project (Demonstration Project) enables the state to examine whether flexibility in the use of Title IV-B and Title IV-E funds for programming helps achieve safety, permanency, and well-being for children and families involved in the child welfare and juvenile justice systems.

In a Memorandum of Understanding with the California Department of Social Services, Alameda County Probation agreed to allow the state and evaluation contractor access, in accordance with federal and state laws and policies, to confidential case records, statistics, and other confidential documents for review, as required by the state, to carry out its responsibilities for the evaluation. Please see attached data share agreement between Alameda County Probation and the National Council on Crime (NCCD) and Westat Research, Inc. (Westat).

NCCD, and its partner Westat, have been retained by the California Department of Social Services to conduct a developmental evaluation study (the "Evaluation") to document and assess the implementation of the Demonstration Project. NCCD will be sharing data with Westat for the purposes of the Evaluation. The Evaluation will examine services and systems that help to achieve safety, permanency, and well-being for children and families involved in the child welfare and juvenile justice systems. Data necessary to complete the Evaluation is contained within Probation's electronic case management, assessment, tracking, and fiscal records. NCCD will need access to Probation's electronic data for the purposes of this Agreement.

Board of Supervisors September 30, 2016 Page 2

Implementation of the Demonstration Project will support practice, programs, and system improvements that may result in better outcomes for children and families.

#### FINANCING:

There is no impact on the County General Fund. There is NO increase in Net County Cost.

Sincerely,

Wendy Stil

Chief Probation Officer

WS:wd/cp



#### DATA SHARE AGREEMENT AMONG COUNTY OF ALAMEDA AND NATIONAL COUNCIL ON CRIME AND DELINQUENCY AND WESTAT RESEARCH, INC.

#### I. PARTIES

This data share agreement ("Agreement") is entered into by and between the County of Alameda, acting by and through its Probation Department ("*Alameda County Probation"*) and the National Council on Crime and Delinquency ("NCCD") and Westat Research, Inc. ("Westat").

#### II. BACKGROUND

The Title IV-E Waiver Demonstration California Well-Being Demonstration Project (hereinafter referred to as "Demonstration Project") is being implemented in nine California counties. Implementation of the Demonstration Project will support practice, programs, and system improvements that may result in better outcomes for children and families. The Demonstration Project enables the state to examine whether flexibility in the use of Title IV-B and Title IV-E funds for programming helps achieve safety, permanency, and well-being for children and families involved in the child welfare and juvenile justice systems.

NCCD, and its partner Westat, have been retained by the California Department of Social Services to conduct a developmental evaluation study (hereinafter referred to as "Evaluation") to document and assess the implementation of the Demonstration Project. NCCD will be sharing data with Westat for the purposes of the Evaluation. The Evaluation will examine services and systems that help to achieve safety, permanency, and well-being for children and families involved in the child welfare and juvenile justice systems.

Data necessary to complete the Evaluation is contained within county agency electronic case management, assessment, tracking, and fiscal records. NCCD will need access to county agency electronic data for the purposes set forth in this Agreement.

#### III. PURPOSE OF AGREEMENT

In a memorandum of understanding with the California Department of Social Services, Alameda County Probation agreed to allow the state and evaluation contractor access, in accordance with federal and state laws and policies, to confidential case records, statistics, and other confidential documents for review, as required by the state, to carry out its responsibilities for the evaluation. The purpose of this Agreement is to set forth the scope of each party's responsibilities on children and families served under the Demonstration Project.

*Alameda County Probation* and NCCD and Westat must protect client confidentiality while sharing data necessary to complete the Evaluation. NCCD and Westat will restrict the use of information obtained under this Agreement to activities that are essential to the Evaluation.

By participating in the Evaluation, the Alameda County Probation will receive results of a rigorous process and outcome evaluation and cost study. The results from the Evaluation will contribute to the state's knowledge of effective programming designed to reduce the number of children in foster care while maintaining child safety.

#### IV. AGREEMENT

NCCD, Westat and Alameda County Probation agree to the sharing of data as follows.

- 1. *Alameda County Probation* shall allow NCCD access to, in accordance with federal and state laws and policies, confidential case records, statistics, and other confidential documents needed for the Evaluation of the Demonstration Project.
- 2. *Alameda County Probation* agrees to cooperate with NCCD to ensure sufficient access to data needed to support the process, outcomes, and cost study components of the Demonstration Project.
- 3. Alameda County Probation agrees to assist NCCD with the gathering and reporting of child-level data, fiscal information, and other data needed to support the process, outcomes, and cost study components of the Demonstration Project.
- 4. Data collection shall occur from April 20, 2016, through June 30, 2020. An extension may be specified by the parties in writing.
- 5. NCCD shall provide *Alameda County Probation* with copies of reports published with the use of data under this Agreement.
- 6. NCCD understands that the information obtained may include confidential information. As such, NCCD agrees not to disclose to any unauthorized group or individual any of the information obtained pursuant to this Agreement. Access to the data shall be limited to NCCD's local research staff assigned to the project and Westat research staff assigned to the project.
- 7. NCCD shall implement procedures to protect information provided by *Alameda County Probation* from unauthorized access, use, modification, or dissemination.
- 8. NCCD and Westat shall destroy all data that remains in their possession in June 2020.
- 9. In the event that NCCD or Westat fails to comply with the terms of this Agreement, *Alameda County Probation* may cease to supply data to the Evaluation and NCCD and Westat shall return

or destroy of any and all data provided to NCCD or Westat upon demand by Alameda County Probation.

- 10. This Agreement may not be assigned without the written consent of *Alameda County Probation*. An assignment made without written consent shall be null and void.
- 11. This Agreement may be terminated at any time by either party. Termination is effective upon serving written notice to the other party.

#### V. CONTACT PERSON

Kristen Johnson, PhD National Council on Crime and Delinquency 1970 Broadway Street, Suite 500 Oakland, CA 94612

#### VI. SIGNATURES

For Alameda County Probation	Date	For NCCD	Date
		For Westat	Date