



# COUNTY OF ALAMEDA

## ADDENDUM No. 1

to

**Request for Pre-Qualification No. 14030-R**

for

**Santa Rita Jail Interior Accessibility Upgrades Project  
Pre-Qualification of General Contractors**

**April 3, 2018**

**Contract Document Clarifications and/or Modifications**

**DUE DATE / SUBMITTAL DEADLINE FOR THE PRE-QUALIFICATION DOCUMENTS PACKAGE IS  
APRIL 23, 2018, NO LATER THAN 2:00PM**

This County of Alameda, General Services Agency (GSA) Addendum has been electronically issued to potential vendors via e-mail. E-mail addresses used are those in the County's Small Local Emerging Business (SLEB) Vendor Database or from other sources. If you have registered or are certified as a SLEB, please ensure that the complete and accurate e-mail address is noted and kept updated in the SLEB Vendor Database. This Addendum will also be posted on the GSA Contracting Opportunities website located at [http://www.acgov.org/gsa\\_app/gsa/purchasing/bid\\_content/contractopportunities.jsp](http://www.acgov.org/gsa_app/gsa/purchasing/bid_content/contractopportunities.jsp)



Alameda County is committed to reducing environmental impacts across our entire supply chain. If printing this document, please print only what you need, print double-sided, and use recycled-content paper.

This Addendum forms a part of the Request for Pre-Qualification of General Contractors (RPQGC) Documents and modifies the original RPQGC Documents for submittal response as noted below. Contractor acknowledges receipt and acceptance of all addenda issued under this RPQGC by submitting the attached revised RPQGC Document with their response.

**This Addendum No. 1, dated April 3, 2018, includes the following:**

- I. **Mandatory Pre-Submittal Conference held on March 29, 2018, at 10:00AM.** Attendees are listed on Attachment 1 of this Addendum. General Contractors not listed on this Attachment 1 will not be considered and Pre-Qualification Document Packages received will not be opened and returned.
- II. **RPQGC Document.** The following sections have been modified to read as shown below. Changes made to the original RPQGC document are in **bold** print and **highlighted**, and deletions made have a ~~strike through~~. The revised RPQGC document is attached to this Addendum 1.
  1. RPQGC Document, Page 9, corrected the due date for submitting all final written clarifying questions to now match the date on Page 5.
  2. RPQGC Document, Page 11, corrected the due date for submitting the fully completed Pre-Qualification Document Package to now match the date indicated on Page 5.
  3. RPQGC Document, Page 5, corrected the address for the Mandatory Pre-Submittal Conference.
  4. RPQGC Document, Page 13, clarified language for selecting Contractors.

End of Addendum No. 1



**ADDENDUM No. 1 – ATTACHMENT 1  
Request for Pre-Qualification No. 14030-R**

**Santa Rita Jail Accessibility Upgrades Project  
Request for Pre-Qualification of General Contractor**

**LIST OF ATTENDEES AT THE MANDATORY  
PRE-SUBMITTAL CONFERENCE  
Held on March 29, 2018 10:00 am  
1111 Jackson Street, Monterey Room, Suite 1105  
Oakland, CA 94612**

*Blank fields below indicate no information provided*

Company Name & Address	Contact	Phone	E-mail	SLEB	Certif. SLEB	Local	MBE	WBE	MWBE	Prime	Sub-Cont	Type
Agbayani Construction Corp 88 Dixon Ct. Daly City, CA 94014	Angelo Ferreras	650-994-9380	<a href="mailto:Angelo.ferreras@agbayani.com">Angelo.ferreras@agbayani.com</a>	N	Y	N	Y	N	Y	Y	N	Tenant Improvement/Facilities Maintenance
Hensel Phelps 226 Airport Pkwy, Suite 150 San Jose, CA 95110	Seth Boles	408-452-1800	<a href="mailto:sboles@henselphelps.com">sboles@henselphelps.com</a>	N	N	N	N	N	N	Y	N	GC
Rodan Builders, Inc. 859 Cowan Road Burlingame, CA 94010	Jeff Lovitt	650-508-1700	<a href="mailto:mlacues@rodanbuilders.com">mlacues@rodanbuilders.com</a>	N	N	Y	N	N	N	Y	N	A, B License
Swinerton Builders 10 Clay Street, #275 Oakland, CA 94607	Marvin Peper	415-984-1314	<a href="mailto:mpeper@swinerton.com">mpeper@swinerton.com</a>	N	Y	Y				Y		A, B
Thompson Builders, Inc. 250 Bel Marin Keys Blvd, Bldg. A Novato, CA 94949	Antishia Wright	415-456-8972	<a href="mailto:dalian@tbcorp.com">dalian@tbcorp.com</a>	N	N	N	N	N	N	Y	Y	

**Request For  
Pre-Qualification  
Of General Contractors**

**for the**

**Santa Rita Jail Interior Accessibility  
Upgrades  
Project No. 14030-R**

**March 14, 2018**

**Revised April 3, 2018**

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# Notification of Pre-Qualification Requirements

**NOTIFICATION OF PRE-QUALIFICATION REQUIREMENTS**  
**for the**  
**COUNTY OF ALAMEDA**  
**Santa Rita Jail Interior Accessibility Upgrades**  
**PROJECT #14030-R**

The County of Alameda ("County") is requesting Qualifications for construction services to construct Alameda County's Santa Rita Jail Interior Accessibility Upgrades ("Project"), as a multi-phase critical schedule project at the existing jail facility located at 5325 Broder Road, Dublin, CA 94568. The County's competitive selection process will proceed in two steps as follows:

**Step 1:** The Construction General Contractor Pre-Qualification phase.

**Step 2:** Submittal of bids according to the requirements of the bid documents. Only those General Contractors ("Contractors") that are successfully qualified in Step 1 will be allowed to participate in Step 2.

**GENERAL DESCRIPTION OF THE PROJECT**

The County's construction budget limit is a not to exceed \$9,000,000.

Description of the Project: The proposed project consists of the removal of barriers to accessibility in the housing units and common areas of the jail facility to comply with the Americans with Disabilities Act (ADA) requirements. Construction modifications to meet accessibility requirements will include inspections as well as approvals by the County's CASp Monitor and its Construction Manager under contract with the County.

The project will use the Design-Bid-Build delivery method. The County desires to complete the entire scope of construction by September 2019. Upon selection of the Pre-Qualified Short-Listed General Contractors, Construction Documents will be issued for competitive bidding among the Pre-Qualified Short-Listed General Contractors.

General Contractors who are interested in applying for Prequalification to bid this project must attend the Mandatory Pre-Submittal Conference.

**GENERAL STATEMENT OF PREQUALIFICATION CRITERIA AND PRIORITIES**

The County is particularly interested in Contractors with the following qualifications:

- Experience with public sector correctional institution and jail facility renovation projects similar in size, scope, type, and complexity to the project identified in this pre-qualification.
- Experience with managing multiple construction crews with limited wireless communication in a large jail facility.

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- Ability to provide a workforce that will pass the on-site security requirements for working in at the County's jail facility. This includes compliance with jail facility construction security procedures and work restrictions. See Attachment 2.
- Ability to perform to the Project's required construction phasing schedule and construction completion goals for the County.

**STEP 1 - PRE-QUALIFICATION PROCESS CONFERENCE**

The following is the anticipated schedule of events and actions for this prequalification process:

- Advertise/Issue Request for Pre-Qualification Submittals March 14, 2018
- Advertisement Period March 15 – 28, 2018
- Written Inquiries and Questions Received by March 27, 2018, 2:00PM
- Mandatory Pre-Submittal Conference March 29, 2018, 10:00AM  
*Location: Alameda County Offices,  
1111 Jackson Street, 2<sup>nd</sup> Floor, Monterrey Conference Room 226,  
Oakland, CA 94612*
- Pre-Submittal Conference Attendee List Issued April 3, 2018
- Final Submittal of Written Clarifying Questions by April 4, 2018, 2:00PM
- GSA Response to Written Questions Issued April 6, 2018
- Submission Deadline for Pre-Qualification Documents April 23, 2018, 2:00PM
- Notification of Qualified Short-Listed General Contractors May 7, 2018

Note: the above dates are approximate.

**STEP 2- PROJECT BIDDING PROCESS AND CONSTRUCTION SCHEDULE:**

For those Contractors who become pre-qualified and short-listed to participate in bidding the Project, the following is the anticipated schedule of events for bidding and construction:

- Contract Documents Available May 9, 2018
- Mandatory Pre-Bid Conference & Site Visit No. 1 May 24, 2018, 10:00AM  
(for Pre-Qualified General Contractors and any interested Subcontractors)
- Non-Mandatory Networking Meeting May 25, 2018, 2:00PM
- Addendum #1 - Attendees List Issued May 30, 2018
- Site Visit No. 2 June 1, 2018
- Last Day for GSA to Receive Any Final Bidder Questions June 6, 2018, 2:00PM
- Addendum #2 - Bid Questions/Answers Issued June 8, 2018
- Receipt of Bids and Bid Opening June 25, 2018, 2:00PM
- Notice of Intent to Award July 6, 2018
- Last Day to Submit Bid Protest July 13, 2018
- Notice of Contract Award August 7, 2018
- Notice to Proceed August 2018
- Construction Phasing Schedule See Attachment 1
- Construction Complete September 1, 2019



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Note: the above dates are approximate, with the exception of Construction Complete which is a firm and required date.

All individuals, as Pre-Qualified General Contractors or any interested Subcontractors who wish to participate in the job walks for Site Visit No. 1 and Site Visit No. 2 indicated above, must receive, in advance, security site clearance from the County Sheriff. See Attachment 5 for this standard form.

### **MINIMUM BONDING REQUIREMENTS**

The minimum bonding capacity must equal or exceed the construction budget. Prospective Contractors that cannot provide bonding at that amount will not be considered qualified even though they may be qualified otherwise.

### **NOTICE OF PRE-QUALIFICATION REQUIREMENTS**

Notice is hereby given that the County has determined that all bidders on the Project must be pre-qualified prior to submitting a bid. It is mandatory that all Contractors, who intend to submit a bid, fully complete the Pre-Qualification Questionnaire Document, and provide all materials requested herein and be approved by the County to be on the final qualified Bidders list. No bid will be accepted from a Contractor that has failed to comply with these requirements. If two or more business entities submit a bid as part of a Joint Venture, or expect to submit a bid as part of a Joint Venture, each entity within the Joint Venture must be separately qualified to bid.

Answers to questions contained in the Pre-Qualification Questionnaire, information about current bonding capacity, notarized statement from surety, and the most recent reviewed or audited financial statements (Profit & Loss Statement and Balance Sheet), with accompanying notes and supplemental information, are required. The County will use these documents as the basis of rating Contractors. The County reserves the right to check other sources available. The County's decision will be based on objective evaluation criteria.

The intent of the Pre-Qualification Questionnaire and supporting information required is to assist the County in determining bidder responsibility prior to submission of bids. Neither the fact of pre-qualification, nor any pre-qualification rating, will preclude the County from a post-bid consideration and determination of whether a bidder has the quality, fitness, capacity and experience to satisfactorily perform the proposed work, and has demonstrated the requisite trustworthiness.

A Contractor will not be considered as qualified for bidding on this Project until the Contractor has met the requirements of the County. In addition, a Contractor may not be considered qualified for either: (1) omission of requested information, or (2) falsification of information.

### **IMPORTANT PROVISIONS OF THE PUBLIC CONTRACT CODE RELATED TO PRE-QUALIFICATION**

In 1999, the California Legislature enacted a law that allows many public agencies, including Alameda County, to require licensed Contractors that wish to bid for public works jobs to "pre-qualify" for the right to bid on a specific public work project, or on projects undertaken by a public

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agency during a specified period of time. Public Contract Code Section 20101 has the relevant provisions.

The County of Alameda has chosen to adopt the pre-qualification process described in Public Contract Code Section 20101 for this Project. Section 20101 requires every public agency that creates a pre-qualification procedure to: (1) use a standardized questionnaire and financial statement in a form specified by the public entity, (2) adopt and apply a uniform system of rating bidders on objective criteria, on the basis of the completed questionnaire and financial statements, and (3) create an appeals procedure, by which a Contractor that is denied pre-qualification may seek a reversal of that determination.

#### **PUBLIC RECORD / CONFIDENTIALITY**

The Pre-Qualification Documents (questionnaire answers and financial statements) submitted by Contractors are not public records and are not open to public inspection. All information provided will be kept confidential to the extent permitted by law. However, the contents may be disclosed to third parties for purpose of verification, or investigation of substantial allegations, or in an appeal hearing. State law requires that the names of Contractors applying for pre-qualification status shall be public records subject to disclosure; the Contact Information page of the questionnaire will be used for that purpose.

#### **ENHANCED CONSTRUCTION OUTREACH PROGRAM (ECOP)**

The Project will comply with the requirements of the County's Enhanced Construction Outreach Program ("ECOP"). All Pre-Qualified Contractors will be required to accept and acknowledge that they will meet the ECOP goals and requirements as they relate to the construction of the Project. Please see Attachments 3 and 4.

The ECOP includes:

- The requirement that Contractors meet or show good faith efforts ("GFE") to meet a contract participation goal of 60% to be awarded to local businesses and 20% to certified small local business enterprises ("SBE"), which may include participation of the Contractor;
- The requirement that Contractors meet or show GFE to meet a sub-contractor or sub-consultant participation goal of 15% Minority-owned Business Enterprise ("MBE") and 5% Women-owned Business Enterprise ("WBE") sub-contractor participation;
- For purposes of the ECOP, an SBE is as defined using the current State definition of a small business, which is a business that:
  - Must be independently owned and operated;
  - Cannot be dominant in its field of operation;
  - Must have its principal office located in California;
  - Must have its owners (or officers in the case of a corporation) domiciled in California; and together with its affiliates, be either:
    1. A business with 100 or fewer employees with \$14 million or less in average gross annual revenue over the past three years, or

2. A manufacturer with 100 or fewer employees.
- Strong encouragement to bidders to contract with businesses that can demonstrate the ability and willingness to provide jobs required to complete County construction projects to local apprentices, youth, unemployed and under-employed County residents.

## **PROJECT STABILIZATION/COMMUNITY BENEFITS AGREEMENT (PSCBA)**

The Project will comply with the requirements of the “Project Stabilization/Community Benefits Agreement for the County of Alameda” (“PSCBA”). Work performed pursuant to the proposed Contract, with a construction value of one million (\$1,000,000) or more, will be subject to the requirements of the PSCBA, as described in the documents in Attachment 5. In consideration of the award of a Contract to perform the Work, the Contractor will agree to be party to and bound by the PSCBA. Pre-Qualified Short-Listed Contractors who submit a bid over \$1,000,000 will be required to accept and acknowledge that they will meet the PSCBA requirements and goals as they relate to the construction of the Project and agree to execute the PSCBA Letter of Assent and shall require all of their Subcontractors, of whatever tier, to be similarly bound for all Work within the scope of this Contract by signing an identical Letter of Assent.

## **COUNTY OF ALAMEDA RIGHTS**

The County reserves the right to waive minor irregularities and omissions in the information contained in the pre-qualification application submitted, to make all final determinations, and to determine at any time that the pre-qualification procedures will not be applied to a specific future public works project.

The County may refuse to grant pre-qualification where the requested information and materials are not provided, or not provided by the date specified above. There is no appeal from a refusal for an incomplete or late application. The closing date and time for pre-qualification submittals will not be changed in order to accommodate supplementation of incomplete submissions, or late submissions.

The County reserves the right to accept pre-qualification applications despite minor irregularities and omissions in the information that would otherwise serve to disqualify a Contractor. The County reserves the right to make all final pre-qualification determinations and to determine at any time that the pre-qualification procedures will not be applied to the Project.

The County reserves the right to adjust, increase, limit, suspend or rescind the pre-qualification rating based on subsequently learned information. Contractors whose rating changes sufficient to disqualify them will be notified, and given an opportunity for a hearing consistent with the hearing procedures described for appealing a pre-qualification rating.

## **PROTEST PROCESS**

Where the scoring of a timely submitted and complete Pre-Qualification Document results in a rating below that necessary to pre-qualify, a protest can be made by a non-qualifying Contractor. Upon request, the County will provide the non-qualifying Contractor, in writing, the basis for its

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disqualification and any copies of supporting evidence that has been received from others or adduced as a result of the County's own investigation.

Please see the following link for the County of Alameda policy on the Bid Protest/Appeals process:  
[www.acgov.org/gsa/departments/purchasing/policy/bidappeal.htm](http://www.acgov.org/gsa/departments/purchasing/policy/bidappeal.htm)

## **PREQUALIFICATION POINT OF CONTACT**

Any questions regarding the Project and the Pre-Qualification process should be in writing and directed to:

**Bernadette Delgado, Senior Project Manager, Medical Facilities Development Program**  
**General Services Agency**  
**County of Alameda**  
**1401 Lakeside Drive, Suite 800**  
**Oakland, CA 94612**  
**Phone: 510.208.9590**  
**Fax: 510.208.3995**  
**Email: bernadette.delgado@acgov.org**

**NOTE:** The date by which **any final submittal of written** clarifying questions on the Pre-Qualification Questionnaire must be submitted is **March 21, 2:00PM April 4, 2018, 2:00PM**. No further questions regarding the Pre-Qualification Questionnaire or required documentation will be answered after that date and time.

The County reserves the right to extend the deadline for submittal of prequalification questionnaires. It will do so through an addendum sent by email to all parties that requested a pre-qualification questionnaire.

# Introduction and Overview

## **INTRODUCTION AND OVERVIEW OF THE PRE-QUALIFICATION PROCESS**

### **SUBMISSION REQUIREMENTS, DATE AND DELIVERY**

Each Pre-Qualification Questionnaire Document must be signed under penalty of perjury in the manner designated on the certification page, by an individual who has the legal authority to bind the Contractor on whose behalf that person is signing.

If any information provided by a Contractor becomes inaccurate, the Contractor must immediately notify the County and provide updated accurate information in writing, under penalty of perjury.

The due date for the submission of the fully completed Pre-Qualification Document Package is:

**2:00 PM Pacific Time on April 10, 2018 April 23, 2018**

**A complete submission must be comprised of a digital copy (PDF format) on a DVD or Solid-state memory stick, and four (4) fully completed, signed, printed hard copy originals of all required documents.**

**The Pre-Qualification Document Package must be submitted in a sealed envelope and marked “CONFIDENTIAL”, to Medical Facilities Development Program, Attention: Bernadette Delgado, Senior Project Manager, General Services Agency, County of Alameda, 1401 Lakeside Drive, Suite 800, Oakland, CA 94612. The submitted Pre-Qualification Document Package will be stamped upon receipt with an official date and time of receipt.**

### **SCORING APPROACH**

The rating of the Contractor will result from consideration of the scores attained in parts II, III and IV of the questionnaire. The successfully qualified General Contractor must achieve a passing score in all three parts of the questionnaire. The scores achieved for the three scored parts are not aggregated to a “total score” to achieve a pass-fail rating. Rather, each part is stand-alone and must be passed sequentially. The Scoring Worksheet for all parts of this document can be found at the last section of this document.

### **PART I: INFORMATION ABOUT THE CONTRACTOR**

This part applies to the Contractor and is for identification purposes only. There is no scoring value to Part I. The first two pages of this part are the only public information portion of the Pre-Qualification Questionnaire Document (specifically the “Lead Person or Firm-Contact Information section and questions 1a. through 1g).

### **PART II: ESSENTIAL REQUIREMENTS FOR QUALIFICATION OF THE CONTRACTOR**

This part applies to the Contractor and is a series of yes/no questions. All questions must be answered correctly or the Contractor will be disqualified. If the Contractor correctly responds to each of these questions, its consideration of qualifications will advance to Part III.

If the Contractor is unable to correctly respond to each of these questions, they will be disqualified regardless of the results of the scored questions.

### **PART III: SCORED QUESTIONS FOR THE CONTRACTOR**

This part is comprised of the qualifications and experiences that the Contractor should possess. A series of questions that must be answered by the Contractor, each question has a pre-assigned score value. The total score attained establishes the rating for the Contractor.

For passing through this part, the Contractor must be able to answer the questions so that the aggregate score for all questions in this part is **equal to or greater than 100 points of the possible maximum score of 150**. If the Contractor passes Part III, consideration of its qualifications will proceed to Part IV.

### **PART IV: RECENT CONSTRUCTION PROJECTS COMPLETED**

This part is comprised of a series of questions relating to the Contractor's performance on three (3) completed projects of similar quality, size, scope and cost. These three (3) projects will be selected by the County from the total list of five (5) projects submitted by the Contractor and will involve a short set of interview questions.

### **PART V: INTERVIEW REFERENCE QUESTIONS**

The identified owner's representative for each project in Part IV above, will be contacted by the County's staff and will be asked be asked 15 questions, of which 14 questions have a score value range of 1 to 10. The Contractor must be given a score value for each question so that the aggregate score for all questions in this part is **equal to or greater than 98 points of the possible maximum score of 140**. If the Contractor passes Part IV, after having also passed Parts II and III, it is considered qualified.

### **PRE-QUALIFICATION DOCUMENT: REVIEW AND NOTIFICATION PROCESS**

The completed Pre-Qualification Document must be submitted by the deadline specified above. Once received, the review and notification process will be as follows:

1. The entire Pre-Qualification Package will be date-stamped upon receipt.
2. The Pre-Qualification Document will be reviewed for completeness by County staff **within three (3) working days from the submission deadline date**. The Contractor will be notified as to completeness. If the Pre-Qualification Document is not deemed complete, upon notification by County Staff the Contractor will have **two (2) working days to add whatever information is needed to make the Document complete**. If the Pre-Qualification Document is complete, it will proceed to be reviewed and scored.
3. The completed Pre-Qualification Document will be reviewed by County staff **within ten (10) working days from the submission deadline date**.
4. The Contractor will be notified of their qualification rating by regular mail or via electronic mail (email) **within ten (10) working days from the submission deadline date**.

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If the Contractor is rated as “Qualified” for this Project, they will be eligible for bidding on the Project and will be notified of the opportunity to submit a Bid for the Project. ~~However, should there be more than four (4) qualified Contractors as a result of this Step 1 – Pre Qualification Process, the County reserves the right to select a minimum of three (3) and a maximum of four (4) qualified Contractors who had submitted projects and/or stated experience most relevant to the Criteria and Priorities of this Project.~~ **Should there be more than three (3) qualified Contractors as a result of this Step 1 – Pre-Qualification Process, the County reserves the right to determine a “short-list” and select the three (3) highest scoring of the qualified Contractors who had submitted projects and/or stated experience most relevant to the Criteria and Priorities for this Project.**

The County has set a due date for receiving Qualified Bids following Pre-Qualification. Please see Step 2 – Project Bidding Process and Construction Schedule in the Notification of Pre-Qualification Requirements section.

If the Contractor is rated as “Non-Qualified” for this Project, they may initiate a protest process as described in the Notification of Pre-Qualification Requirements section.



# Pre-Qualification Questionnaire

## **PART I: INFORMATION ABOUT THE CONTRACTOR**

### **Lead Person or Firm - Contact Information**

If Contractor is a sole proprietor or partnership:

Owner(s) of Company: \_\_\_\_\_

Contractor Name: \_\_\_\_\_

Contact: \_\_\_\_\_

Address: \_\_\_\_\_

Phone: \_\_\_\_\_ Fax: \_\_\_\_\_ EmailAddress: \_\_\_\_\_

Type of Entity (check one):

☐ Corporation ☐ Partnership ☐ Sole Proprietor ☐ Joint Venture ☐ Other Association

### **Member Firm(s)**

<b>Discipline</b>	<b>Name</b>	<b>License</b>
General Contractor		

### **INFORMATION ABOUT THE GENERAL CONTRACTOR**

1a. Date of formation or incorporation: \_\_\_\_\_

1b. State of formation or incorporation: \_\_\_\_\_

1c. How many persons does your organization currently employ: \_\_\_\_\_

1d. If your organization is a corporation, please complete the following table:

Provide information for each officer of the corporation or individual(s) with 20% or more of the corporate stock.

<b>Position</b>	<b>Name</b>	<b>Years with Co.</b>	<b>% Ownership</b>
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President			
Vice-President			
Secretary			
Treasurer			

1e. If your organization is a partnership, please complete the following table. Provide information for each partner who owns 20% or more of the firm.

<b>Position</b>	<b>Name</b>	<b>Years with Co.</b>	<b>% Ownership</b>
President			
Vice-President			
Secretary			
Treasurer			

1f. If your organization is a sole proprietorship, please complete the following table. Provide information for each member of the proprietorship.

<b>Owner</b>	<b>Years as Owner</b>

1g. If your organization is a joint venture, please complete the following table. Provide information for each member of the joint venture.

**Joint Venture Member #1**

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Name of Company	Name of Owner/Partner or President	Years with Co.	% Ownership of Joint Venture

**Joint Venture Member #2**

Name of Company	Name of Owner/Partner or President	Years with Co.	% Ownership of Joint Venture

**Joint Venture Member #3**

Name of Company	Name of Owner/Partner or President	Years with Co.	% Ownership of Joint Venture

- 1h. For each person listed in table 1d, 1e, 1f, or 1g, identify every construction company that the person has been employed with at any time **during the last five years:**

Name	Company	Position with Company	Dates of Employment with Company

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2. Has there been any change in ownership of the Contractor at any time **during the last three years?** If so, note each change below:

**NOTE: A corporation whose shares are publicly traded is not required to answer this question.**

☐ Yes ☐ No

If “yes,” explain:

3. Is the Contractor a subsidiary, parent, holding company or affiliate of another construction firm?

**NOTE: Include information about other firms if one firm owns 50 per cent (50%) or more of another, or if an owner, partner, or officer of your firm holds a similar position in another firm.**

☐ Yes ☐ No

If “yes,” explain:

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4. Are any of the Contractor's corporate officers, partners or owners connected to any other construction companies?

**NOTE: Include information about other firms if an owner, partner, or officer of your firm holds a similar position in another firm.**

☐ Yes ☐ No

If "yes," explain:

5. State the Contractor Firm's gross revenues for each of **the last three years**:

**2015:** \_\_\_\_\_ **2016:** \_\_\_\_\_ **2017:** \_\_\_\_\_

6. List all California construction license numbers, classifications and expiration dates of the California contractor licenses held by the Contractor Firm:

Contractor License Number	Classification	Expiration Date

7. If any of the Contractor's license(s) are held in the name of a corporation or partnership, list below the names of the qualifying individuals(s) listed on the CSLB records who meet(s) the experience and examination requirements for each license.

Contractor License Number	Name of Qualifying Individual

8. Has the Contractor Firm changed names or license number **in the past five years**?

☐ Yes ☐ No

If "yes," explain:

9. Has any owner, partner or (for corporations) officer of the Contractor operated a construction company under any other name **in the last five years**?

☐ Yes      ☐ No

If “yes,” explain:

10. Bonding capacity: Provide documentation from your surety identifying the following:

<b>Bonding Co./Surety:</b>		<b>Surety Agent:</b>	
<b>Agent Address:</b>		<b>Telephone #:</b>	

11. List all other sureties (name and full address) that have written bonds for your firm **during the last five years**, including the dates during which each wrote the bonds:

<b>Date</b>	<b>Surety</b>	<b>Address</b>

12. Has the Contractor or any of its owners, officers or partners ever been debarred, suspended, or otherwise excluded by the United States Government in compliance with the requirements of 7 Code of Federal Regulations (CFR) 3016.35, 28 CFR 66.35, 29 CFR 97.35, 34 CFR 80.35, 45 CFR 92.35 and Executive Order 12549?

☐ Yes      ☐ No

If “yes,” explain:

## **PART II: ESSENTIAL REQUIREMENTS FOR QUALIFICATION THE CONTRACTOR:**

**NOTE: Contractor will be automatically disqualified if the answer to any of questions 1 through 5 is “no”.**

1. Does the Contractor possess a valid, current California contractor’s license for the Project for which it intends to submit a bid?  
☐ Yes      ☐ No
2. Does the Contractor have a liability insurance policy with a policy limit of at least \$2,000,000 per occurrence and \$4,000,000 annual general aggregate, plus Excess Liability of \$5,000,000 per occurrence (CSL) and \$5,000,000 general aggregate?  
☐ Yes      ☐ No
3. Does the Contractor have current workers’ compensation insurance policies as required by the Labor Code or is it legally self-insured pursuant to Labor Code section 3700 et. seq.?  
☐ Yes      ☐ No
4. Has the Contractor attached the latest copy of a reviewed or audited financial statement with accompanying notes and supplemental information?  
☐ Yes      ☐ No

**A financial statement that is not either reviewed or audited is not acceptable. A letter verifying availability of a line of credit is not a substitute for the required financial statement.**

5. Has the Contractor attached a notarized statement from a surety insurer admitted (approved by the California Department of Insurance) and authorized to issue bonds in the State of California, which states that the General Contractor’s current bonding capacity is sufficient for the project for which you seek pre-qualification (i.e.: does it meet or exceed \$10,000,000)?  
☐ Yes      ☐ No

**Notarized statement must be from the surety company, not an agent or broker.**



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**NOTE: Contractor will be automatically disqualified if the answer to any of questions 6 thru 12 is “yes”.**

6. Has the Contractor’s license been revoked or suspended at any time **in the last five (5) years?**  
☐ Yes ☐ No
7. Has a surety firm completed a contract on behalf of the Contractor, or paid for completion because the firm was default terminated by the project owner **within the last five (5) years?**  
☐ Yes ☐ No
8. At the time of submitting this pre-qualification form, is the Contractor ineligible to bid on or be awarded or perform as a subcontractor a public works contract, pursuant to either Labor Code section 1777.1 or Labor Code section 1777.7?  
☐ Yes ☐ No
9. At any time **during the last five years**, has the Contractor or any of its owners or officers been convicted of a crime involving the awarding of a contract of a government construction project, or the bidding or performance of a government contract?  
☐ Yes ☐ No
10. Has the Contractor or any of its owners, officers or partners ever been found liable in a civil suit or found guilty in a criminal action for making any false claim or material misrepresentation to any public agency or entity?  
☐ Yes ☐ No
11. Has the Contractor or any of its owners, officers or partners ever been convicted of a crime involving any federal, state, or local law related to construction?  
☐ Yes ☐ No
12. Has the Contractor or any of its owners, officers or partners ever been convicted of a federal or state crime of fraud, theft, or any other act of dishonesty?  
☐ Yes ☐ No

## PART III: SCORED QUESTIONS FOR THE CONTRACTOR

1. How many years has the Contractor been in business in California as a General Contractor under its present business name and license number?

**NOTE: If Contractor is a Joint Venture, add years for each partner to the Joint Venture and enter the average of combined experience.**

**Years:** \_\_\_\_\_

2. Is the Contractor or any part of the Joint Venture currently the debtor in a bankruptcy case?

☐ Yes ☐ No

If “yes,” indicate the case number, and the date on which the petition was filed.

**Case #:** \_\_\_\_\_ **Date filed:** \_\_\_\_\_

3. Was the Contractor or any party to the Joint Venture in bankruptcy **at any time during the last five years?** (This question refers only to a bankruptcy action that was not described in answer to question 2, above)

☐ Yes ☐ No

If “yes,” indicate the case number and the date on which the petition was filed, and the Bankruptcy Court’s discharge order number.

**Case #:** \_\_\_\_\_ **Date filed:** \_\_\_\_\_ **Discharge #:** \_\_\_\_\_

4. At any time **in the last five years** has the Contractor or any party to the Joint Venture been assessed and paid liquidated damages of more than \$50,000 after completion of a project under a construction contract with either a public or private owner?

☐ Yes ☐ No

If yes, identify all such projects by owner, owner’s address, completion date of the project, amount of liquidated damages assessed and all other information necessary to fully explain the assessment of liquidated damages.

<b>Owner:</b>		<b>Address:</b>	
<b>Completed:</b>		<b>Amount:</b>	
<b>Other:</b>			

<b>Owner:</b>		<b>Address:</b>	
<b>Completed:</b>		<b>Amount:</b>	

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<b>Other:</b>

5. **In the last five years** has the Contractor or any firm with which any of the Contractor's owners, officers or partners was associated, been debarred, disqualified, removed or otherwise prevented from bidding on, or completing, any government agency or public works project for any reason?

**NOTE: "Associated with" refers to another construction firm in which an owner, partner or officer of your firm held a similar position, and which is listed in response to question 1c or 1d on this form.**

☐ Yes      ☐ No

If "yes," identify the company, the person within the Contractor who was associated with that company, the year of the event, the owner of the project, the project and the basis for the action.

<b>Company:</b>		<b>Person:</b>	
<b>Year:</b>		<b>Owner:</b>	
<b>Basis:</b>			

<b>Company:</b>		<b>Person:</b>	
<b>Year:</b>		<b>Owner:</b>	
<b>Basis:</b>			

<b>Company:</b>		<b>Person:</b>	
<b>Year:</b>		<b>Owner:</b>	
<b>Basis:</b>			

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6. **In the last five years** has the Contractor been denied an award of a public works contract based on a finding by a public agency that the Contractor was not a responsible bidder?

☐ Yes ☐ No

If “yes,” identify the year of the event, the owner, the project and the basis for the finding by the public agency.

<b>Year:</b>		<b>Owner:</b>		<b>Project:</b>	
<b>Basis:</b>					

<b>Year:</b>		<b>Owner:</b>		<b>Project:</b>	
<b>Basis:</b>					

<b>Year:</b>		<b>Owner:</b>		<b>Project:</b>	
<b>Basis:</b>					

**NOTE: The following two questions refer only to disputes between the Contractor and the owner of a project. You need not include information about disputes between the Contractor**

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**and a supplier, another contractor, or sub-contractor. You need not include information about “pass-through” disputes in which the actual dispute is between a sub-contractor and a project owner.**

7. **In the past five years** has any claim in excess of \$50,000 been filed in court or arbitration against the Contractor concerning its work on a construction project?

☐ Yes   ☐ No

If “yes,” identify the claim(s) by providing the project name, date of the claim, name of the claimant, a brief description of the nature of the claim, the court in which the case was filed and a brief description of the status of the claim (pending or, if resolved, a brief description of the resolution).

<b>Project:</b>		<b>Date:</b>	
<b>Claimant:</b>		<b>Court:</b>	
<b>Nature:</b>			
<b>Description:</b>			

<b>Project:</b>		<b>Date:</b>	
<b>Claimant:</b>		<b>Court:</b>	
<b>Nature:</b>			
<b>Description:</b>			

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<b>Project:</b>		<b>Date:</b>	
<b>Claimant:</b>		<b>Court:</b>	
<b>Nature:</b>			
<b>Description:</b>			

8. **In the past five years** has the Contractor made any claim in excess of \$50,000 against a project owner concerning work on a project or payment for a contract and filed that claim in court or arbitration?

☐ Yes ☐ No

If “yes,” identify the claim by providing the project name, date of the claim, name of the entity (or entities) against whom the claim was filed, a brief description of the nature of the claim, the court in which the case was filed and a brief description of the status of the claim (pending, or if resolved, a brief description of the resolution).

<b>Project:</b>		<b>Date:</b>	
<b>Entity:</b>		<b>Defendant:</b>	
<b>Description:</b>			

<b>Project:</b>		<b>Date:</b>	
<b>Entity:</b>		<b>Defendant:</b>	
<b>Description:</b>			

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<b>Project:</b>		<b>Date:</b>	
<b>Entity:</b>		<b>Defendant:</b>	
<b>Description:</b>			

9. **In the last five years** has any insurance carrier, for any form of insurance, refused to renew the insurance policy for the Contractor?

☐ Yes ☐ No

If "yes," give name the insurance carrier, the form of insurance and the year of the refusal.

<b>Year:</b>		<b>Carrier:</b>		<b>Form:</b>	
<b>Comments:</b>					

<b>Year:</b>		<b>Carrier:</b>		<b>Form:</b>	
<b>Comments:</b>					

<b>Year:</b>		<b>Carrier:</b>		<b>Form:</b>	
<b>Comments:</b>					

10. Has the Contractor or party to the Joint Venture been required to pay a **premium of more than one per cent (1%) for a performance and payment bond** on any project(s) on which the Contractor worked at any time **during the last three years?**

☐ Yes ☐ No

If "yes," state the percentage that your firm was required to pay. You may provide an explanation for a percentage rate higher than one per cent, if you wish to do so.

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**NOTE: Score based on highest single year**

<b>Year:</b>		<b>Rate:</b>	
<b>Explanation:</b>			

<b>Year:</b>		<b>Rate:</b>	
<b>Explanation:</b>			

<b>Year:</b>		<b>Rate:</b>	
<b>Explanation:</b>			

11. Has the Contractor carefully read the attached Document 00 22 19 and is the Contractor fully committed to the County's Enhanced Construction Outreach Program (ECOP) and required good faith efforts? *This is located at the back of the document and additional copies can be made available at Pre-Qualifications submittal conference.*

☐ Yes      ☐ No

If "yes," provide an acknowledgement of the County's ECOP program.

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12. Has the Contractor managed a similar construction outreach program for the benefit of small local businesses, subcontractors, and suppliers?

☐ Yes      ☐ No



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If “yes,” provide a brief description of the program, including the Contractor’s methodology for conducting the program.

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13. **During the last five years** has the Contractor ever been denied bond coverage by a surety company, or has there ever been a period of time when your firm had no surety bond in place during a public construction project when one was required?

☐ Yes      ☐ No

If yes, indicate the date when the Contractor was denied coverage and the name of the company or companies that denied coverage; and the period during which you had no surety bond in place.

<b>Date:</b>		<b>Surety:</b>		<b>Duration Period:</b>	
<b>Explanation:</b>          					

<b>Date:</b>		<b>Surety:</b>		<b>Duration Period:</b>	
<b>Explanation:</b>          					

<b>Date:</b>		<b>Surety:</b>		<b>Duration Period:</b>	
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**Explanation:**

14. Has CAL OSHA cited and assessed penalties against the Contractor for any “serious,” “willful” or “repeat” violations of its safety or health regulations **in the past five years?**

**NOTE: If you have filed an appeal of a citation, and the Occupational Safety and Health Appeals Board has not yet ruled on your appeal, you need not include information about it.**

☐ Yes ☐ No

If “yes,” describe the citations, including information about the dates of the citations, the nature of the violation, the project on which the citation(s) was or were issued, the amount of penalty paid, if any. If the citation was appealed to the Occupational Safety and Health Appeals Board and a decision has been issued, state the case number and the date of the decision.

<b>Description:</b>		<b>Date:</b>		<b>Nature:</b>	
<b>Project:</b>		<b>Amount:</b>			
<b>Description:</b>		<b>Date:</b>		<b>Nature:</b>	
<b>Project:</b>		<b>Amount:</b>			
<b>Description:</b>		<b>Date:</b>		<b>Nature:</b>	
<b>Project:</b>		<b>Amount:</b>			

15. Has the Federal OSHA cited and assessed penalties against the Contractor **in the past five years?**

**NOTE: If you have filed an appeal of a citation and the Appeals Board has not yet ruled on your appeal, or if there is a court appeal pending, you need not include information about the citation.**

☐ Yes ☐ No

If “yes,” describe each citation.

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**Explanation:**

16. Has the EPA or any Air Quality Management District or any Regional Water Quality Control Board cited and assessed penalties against either the Contractor or the owner of a project on which the Contractor was the builder, **in the past five years?**

**NOTE: If you have filed an appeal of a citation and the Appeals Board has not yet ruled on your appeal, or if there is a court appeal pending, you need not include information about the citation.**

☐ Yes      ☐ No

If “yes,” describe each citation.

**Explanation:**

**Explanation:**

**Explanation:**

17. Does the Contractor require documented safety meetings to be held for construction employees and field supervisors during the course of a project?

☐ Yes      ☐ No

If “yes,” identify how often the meetings are required.

**Weekly**

☐

**Bi-Weekly**

☐

**Monthly**

☐

**Less Frequent**

☐

18. Has the Contractor been issued an Experience Modification Rate (EMR) (California workers’ compensation insurance) **for each of the past three premium years?**

☐ Yes      ☐ No

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If your EMR for any of these three years is or was **1.00 or higher**, provide explanation.

**NOTE: Score based on highest EMR in any single Year.**

<b>Current Year:</b>		<b>Rate:</b>	
<b>Explanation:</b>			

<b>Current Year:</b>		<b>Rate:</b>	
<b>Explanation:</b>			

<b>Current Year:</b>		<b>Rate:</b>	
<b>Explanation:</b>			

19. **Within the last five years** has there ever been a period when the Contractor had employees but was without workers' compensation insurance or state-approved self-insurance?

☐ Yes      ☐ No

If "yes," explain the reason for the absence of workers' compensation insurance.

<b>Year:</b>		<b>Period:</b>	
<b>Explanation:</b>			

<b>Year:</b>		<b>Period:</b>	
<b>Explanation:</b>			

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<b>Year:</b>		<b>Period:</b>	
<b>Explanation:</b>			

20. Has there been **more than one occasion during the last five years** in which the Contractor was required to pay either back wages or penalties for the Contractor's failure to comply with the state's prevailing wage laws?

**This question refers only to the prime Contractor's violation of prevailing wage laws, not to violations of the prevailing wage laws by a sub-contractor.**

☐ Yes ☐ No

If "yes," describe the nature of each violation, identifying the name of the project, the date of its completion, the public agency for which it was constructed; the number of employees who were initially underpaid and the amount of back wages and penalties that you were required to pay.

<b>Violation:</b>		<b>Project:</b>	
<b>Completion:</b>		<b>Agency:</b>	
<b>Employees:</b>		<b>Amount:</b>	

<b>Violation:</b>		<b>Project:</b>	
<b>Completion:</b>		<b>Agency:</b>	
<b>Employees:</b>		<b>Amount:</b>	

<b>Violation:</b>		<b>Project:</b>	
<b>Completion:</b>		<b>Agency:</b>	
<b>Employees:</b>		<b>Amount:</b>	

<b>Violation:</b>		<b>Project:</b>	
<b>Completion:</b>		<b>Agency:</b>	
<b>Employees:</b>		<b>Amount:</b>	

21. **During the last five years** has there been **more than one occasion** in which the Contractor has been penalized or required to pay back wages for failure to comply with the Federal Davis-Bacon prevailing wage requirements?

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☐ Yes ☐ No

If “yes,” describe the nature of the violation, identifying the name of the project, the date of its completion, the public agency for which it was constructed; the number of employees who were initially underpaid, the amount of back wages you were required to pay along with the amount of any penalty paid.

<b>Violation:</b>		<b>Project:</b>	
<b>Completion:</b>		<b>Agency:</b>	
<b>Employees:</b>		<b>Amount:</b>	

<b>Violation:</b>		<b>Project:</b>	
<b>Completion:</b>		<b>Agency:</b>	
<b>Employees:</b>		<b>Amount:</b>	

<b>Violation:</b>		<b>Project:</b>	
<b>Completion:</b>		<b>Agency:</b>	
<b>Employees:</b>		<b>Amount:</b>	

<b>Violation:</b>		<b>Project:</b>	
<b>Completion:</b>		<b>Agency:</b>	
<b>Employees:</b>		<b>Amount:</b>	

22. Does the Contractor intend to request the dispatch of apprentices from an apprenticeship program approved by the California Apprenticeship Council for use on this Project if you are awarded the contract?

☐ Yes ☐ No

If “yes,” provide the name, address and telephone number of the apprenticeship program from whom you intend to request the dispatch of apprentices.

<b>Program Name</b>	<b>Address</b>	<b>Phone</b>

23. Has the Contractor operated its own State-approved apprenticeship program during the last three years?

☐ Yes ☐ No

If “yes,” state the year in which each such apprenticeship program was approved, identify the craft or crafts in which your firm provided apprenticeship training, and state the number of individuals who were employed by your firm as apprentices at any time **during the past**

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**three years** in each apprenticeship and the number of persons who, during the past three years, completed apprenticeships in each craft while employed by your firm.

<b>Year:</b>		<b>Rate:</b>	
<b>Crafts:</b>			

24. At any time **during the last five years** has the Contractor been found to have violated any provision of California apprenticeship laws or regulations, or the laws pertaining to use of apprentices on public works?

**You may omit reference to any incident that occurred prior to January 1, 2008, if the violation was by a sub-contractor and the Contractor, as general contractor on a project, had no knowledge of the sub-contractor's violation at the time they occurred.**

☐ Yes ☐ No

If "yes," provide the date(s) of such findings, and attach copies of the Department's final decision(s).

Date	Department	Finding	Decision #

25. Does Contractor currently employ LEED (Leadership in Energy & Environmental Design) accredited professionals?

☐ Yes ☐ No

If yes, identify individuals

Name	Years With Firm

26. Has Contractor built LEED-certified buildings in the past?

☐ Yes ☐ No

If yes, identify projects (s)

Project	Location	Certification Level	Date Completed
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27. **During the past five years** has Contractor constructed or renovated correctional, jail, or other institutional facility buildings that included accessibility or accessibility upgrades?

☐ Yes ☐ No

If yes, identify projects(s):

Project	Location	Date Completed	Accessibility Scope

28. Does the Contractor have experience building correctional, jail, or institutional facilities of similar size, and scope and cost (lower or higher than \$10 Million) to this Project?

☐ Yes ☐ No

If yes, identify projects(s):

Project	Location	Date Completed	Size, Scope, Cost

29. Does the Contractor currently employ a Project Manager and a Superintendent who will be



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devoted full-time to this Project and who have experience building projects of similar size and scope?

☐ Yes      ☐ No

If yes, identify the Project Manager and Superintendent and the projects for each:

Name	Years With Firm

Project	Location	Date Completed	Budget and Size

30. Does the Contractor currently employ a Quality Assurance (QA) /Quality Control (QC) Manager who will be devoted to this Project on a full-time basis?

☐ Yes      ☐ No

If yes, identify the QA/QC Manager:

Name	Years With Firm

## **PART IV: RECENT CONSTRUCTION PROJECTS COMPLETED**

Contractor shall provide information about **no more than (5) most recently completed Public Works projects** of similar size, scope and complexity.

Include, if applicable, descriptions of any green building or sustainable design strategies that were integrated into design and construction of the project. Please also indicate what methodology or practices were used to stay on or under Budget and within Schedule for Occupancy.

**Names and references must be current and verifiable.**

**Project Number:** <sup>1</sup> \_\_\_\_

Project Name: \_\_\_\_\_

Responsible Member: \_\_\_\_\_

Location: \_\_\_\_\_

Owner: \_\_\_\_\_

Owner Contact Name: \_\_\_\_\_

Owner Contact Name: \_\_\_\_\_

Owner Contact Phone: \_\_\_\_\_

Architect or Engineer: \_\_\_\_\_

Architect or Engineer Contact Name: \_\_\_\_\_

Architect or Engineer Phone: \_\_\_\_\_

Construction Manager Name: \_\_\_\_\_

Construction Manager Phone: \_\_\_\_\_

Description of Project, Scope of Work Performed:

Original Value of Construction: \_\_\_\_\_

Total Value of Construction (including change orders): \_\_\_\_\_

Original Scheduled Completion Date: \_\_\_\_\_

Time Extensions Granted (number of days): \_\_\_\_\_

Actual Date of Completion: \_\_\_\_\_

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<sup>1</sup> **FOR EACH PROJECT COPY THIS PAGE, INSERT A NUMBER (1 THROUGH 5) AND ATTACH ADDITIONAL PAGES.**

# Reference Interview Questions

## **PART V: REFERENCE INTERVIEW QUESTIONS**

The following questions will be used to interview contacts selected from three (3) of the five (5) recently completed projects submitted within Part IV. The County will select projects and conduct the interviews. No action on the Contractor's part is necessary. These questions are included in the package given to the Contractor for information only.

Project #: \_\_\_\_\_

Contractor: \_\_\_\_\_

Project: \_\_\_\_\_

Brief Description: \_\_\_\_\_

1. Are there any outstanding stop notices, liens, or claims by the Contractor that are currently unresolved on contracts for which notices of completion were recorded **more than 120 days ago?**

☐ Yes

☐ No

**(Yes: 0-4 pts.)  
(No: 5 pts)**

If "yes," how many separate instances?  
**(1=4pts, 2=3pts, 3=2pts, 4=1pt, 5=0 pts)**

\_\_\_\_\_

2. On a scale of 1-10, with 10 being the best, did the Contractor provide adequate personnel?  
Rating: \_\_\_\_\_
3. On a scale of 1-10, with 10 being the best, did the Contractor provide adequate supervision?  
Rating: \_\_\_\_\_
4. On a scale of 1-10, with 10 being the best, was there adequate equipment provided on the job?  
Rating: \_\_\_\_\_
5. On a scale of 1-10, with 10 being the best, was the Contractor timely in providing reports and other paperwork, including change order paperwork and scheduling updates?  
Rating: \_\_\_\_\_
6. On a scale of 1-10, with 10 being the best, did the Contractor adhere to the project schedule that your agency or business approved?  
Rating: \_\_\_\_\_
7. Was the project completed on time?  
☐ Yes ☐ No If "no," rate Contractor responsibility  
**(5 pts) (0-4 pts) on a scale of 1-4; 4 is most responsible / 1 is least responsible:**
8. On a scale of 1-10, with 10 being the best, rate the Contractor on the timely submission of reasonable cost and time estimates to perform change order work.  
Rating: \_\_\_\_\_

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9. On a scale of 1-10, with 10 being the best, rate the Contractor on how well they performed the work after a change order was issued, and how well they integrated the change order work into the existing work.  
Rating: \_\_\_\_\_
10. On a scale of 1-10, with 10 being the best, rate how the Contractor has been performing in the area of turning in Operation & Maintenance manuals, completing as-built drawings, providing required training and taking care of warranty items?  
Rating: \_\_\_\_\_
11. On a scale of 1-10, with 10 being the best, rate the Contractor on whether there were an unusually high number of claims, given the nature of the project, or unusual difficulty in resolving them.  
Rating: \_\_\_\_\_
12. On a scale of 1-10, with 10 being the best, rate the Contractor with respect to timely payments by them to sub-contractors and/or suppliers.  
Rating: \_\_\_\_\_
13. On a scale of 1-10, with 10 being the best, rate the quality of the work overall.  
Rating: \_\_\_\_\_
14. On a scale of 1-10, with 10 being the best, was the contractor cooperative with the owner and the architect?  
Rating: \_\_\_\_\_
15. On a scale of 1-10, with 10 being the best, did the contractor try to resolve disputes in a fair and equitable manner?  
Rating: \_\_\_\_\_

**Certification**

## **CERTIFICATION**

I, the undersigned, on behalf of the General Contractor, certify and declare that I have read all the foregoing answers to this Pre-Qualification Document and know their contents. The matters stated in the Questionnaire answers are true of my own knowledge and belief, except as to those matters stated on information and belief, and as to those matters I believe them to be true. I declare under penalty of perjury under the laws of the State of California, that the foregoing is correct.

\_\_\_\_\_  
(Printed name)

\_\_\_\_\_  
(Signature)

\_\_\_\_\_  
(Date)

# Scoring Worksheets



## SCORING WORKSHEETS

The establishing of the qualification of a Contractor is determined by:

- 1) Confirming the appropriate responses to Part II: Essential Requirements do not exceed a point total point value of **zero (0)**;
- 2) Confirming minimum point total value of **100** value from responses to Part III: Scored Questionnaire and
- 3) Confirming minimum point total value of **98** from responses to all Part V: Reference Interview Questions associated with Part IV: Recent Construction Projects Completed.

The table below gives the value of each scored question for the Part II: Essential Requirements and Part III: Scored Questionnaire parts. Scoring breakdown for Interview Questions of Part IV are found above in description of Part IV process.

The Scoring Worksheets list every essential requirement and scored question. The requirements and questions are abbreviated to capture the essence of the item. The abbreviation does not change the actual requirement or question as written in the Essential Requirements and Scored Questionnaire parts of the Pre-Qualification Questionnaire.

### PART II Essential Requirements For the Contractor

Question #	Requirement / Question	Yes	No	Score
1	<b>Does General Contractor &amp; Subcontractors have current California contractor's license?</b> <i>Yes = 0; No = 1</i>			
2	<b>Does General Contractor &amp; Subcontractors have liability insurance?</b> <i>Yes = 0; No = 1</i>			
3	<b>Does Contractor &amp; Subcontractors have workers' compensation insurance?</b> <i>Yes = 0; No = 1</i>			
4	<b>Is General Contractor's financial statement attached?</b> <i>Yes = 0; No = 1</i>			
5	<b>Is General Contractor's notarized surety statement attached?</b> <i>Yes = 0; No = 1</i>			
6	<b>Has General Contractor or Subcontractors' license been revoked in the last five years?</b> <i>Yes = 1; No = 0</i>			
7	<b>Has General Contractor or Subcontractors' surety paid for completion last 5 years?</b> <i>Yes = 1; No = 0</i>			
8	<b>Is General Contractor or Subcontractors' ineligible to bid on public works?</b> <i>Yes = 1; No = 0</i>			
9	<b>Has General Contractor or Subcontractors been convicted of crime on public contract?</b> <i>Yes = 1; No = 0</i>			
10	<b>Has Contractor been found liable or guilty in a criminal action?</b>			

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	<i>Yes = 1 pt; No =0</i>			
11	<b>Has General Contractor been convicted of a crime related to construction?</b> <i>Yes =1 pt; No =0</i>			
12	<b>Has General Contractor been convicted of a fraud, theft or dishonesty?</b> <i>Yes = 1 pt; No =0</i>			
<b>Total Score for Essential Requirements</b> <b>(Disqualified if score is greater than zero "0")</b>				

**PART III Scored Questions for the General Contractor**

Question #	Requirement / Question	Yes	No	Instance	Score
1	<b>How many years General Contractor been in business in California?</b> <i>2 yrs or less = 2 pts; 3 yrs = 3 pts; 4 yrs = 4 pts; 5 yrs + = 5 pts</i>				
2	<b>Is General Contractor currently in a bankruptcy?</b> <i>Yes = 0 pts; No = 5 pts</i>				
3	<b>Has General Contractor been in bankruptcy during the last five years?</b> <i>Yes = 0 pts; No = 5 pts</i>				
4	<b>How many projects has General Contractor paid liquidated damages of \$50,000 or more in last five years?</b> <i>0 to 1 projects = 5 pts; 2 projects =3 pts; more than 2 = 0 pts.</i>				
5	<b>Has General Contractor been prevented from bidding on public works projects in last five years?</b> <i>Yes =subtract 5 pts; No =5 pts</i>				
6	<b>Has General Contractor been denied award of a public works projects in last five years?</b> <i>Yes = 0 pts; No = 5 pts</i>				
7	<b>Has a claim over \$50,000 been files against General Contractor in last five years?</b> <i>5 pts for 0 or 1 instance; 3 pts for 2 instances; 0 pts for more than 2 instances.</i>				
8	<b>Has General Contractor filed a claim of more than \$50,000 against owner in last five years?</b> <i>5 pts for 0 or 1 instance; 3 pts for 2 instances; 0 pts for more than 2 instances</i>				
9	<b>Has any insurance carrier refused to renew General Contractor policy?</b> <i>5 pts for 0 or 1 instance; 3 pts for 2 instances; 0 pts for more than 2</i>				
10	<b>Has General Contractor paid more than 1% for a performance &amp; payment bond?</b> <i>5 pts for up to 1%; 3 pts for no higher than 1.10%; 0 pts for higher than 1.1%.</i>				
11	<b>Has General Contractor read Document 00 22 19 and committed to ECOP program?</b> <i>Yes =5; No =0</i>				
12	<b>Has General Contractor managed a similar construction outreach program?</b>				

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	<i>Yes =5; No =0</i>				
13	<b>Has a surety ever denied General Contractor bond coverage in last five years?</b> <i>Yes =0; No =5</i>				
14	<b>Has CAL OSHA cited General Contractor for serious, willful or repeated safety violations in last five years?</b> <i>5 pts for 0 or 1 instance; 3 pts for 2 instances; 0 pts for any other answer</i>				
15	<b>Has federal OSHA cited General Contractor for safety violations in last five years?</b> <i>5 pts for 0 or 1 instance; 3 pts for 2 instances; 0 pts for any other answer</i>				
16	<b>Has EPA, AQMD or RWQCB assessed penalties against General Contractor?</b> <i>5 pts for 0 to 1 instance; 3 pts for 2 instances; 0 pts for any other answer</i>				
17	<b>Does General Contractor require weekly safety meetings?</b> <i>Yes, weekly =5; any other answer=0</i>				
18	<b>Has General Contractor been issued an EMR for last three years?</b> <i>5 pts for .95 or less; 3 pts if between .96 and 1.00; 0 pts for any other answer</i>				
19	<b>Has General Contractor been without workmen's compensation insurance in last five years?</b> <i>5 pts for 0 to 1 instance; 0 pts for any other answer</i>				
20	<b>Has General Contractor failed to comply with state prevailing wage laws?</b> <i>5 pts for 0 to 2 instance; 3 pts for 3 instances; 0 pts for any other answer</i>				
21	<b>Has General Contractor failed to comply with federal prevailing wage laws?</b> <i>5 pts for 0 to 2 instance; 3 pts for 3 instances; 0 pts for any other answer</i>				
22	<b>Does General Contractor intend to use a California Apprenticeship Council program?</b> <i>Yes =5; No =0</i>				
23	<b>Has General Contractor participated in a state-approved apprenticeship program?</b> <i>5 pts if 1 or more persons; 0 pts if no persons</i>				
24	<b>Has General Contractor violated California apprentice laws?</b> <i>5 pts for 0 to 2 instance; 3 pts for 3 instances; 0 pts for any other answer</i>				
25	<b>Does General Contractor Employ LEED Accredited professionals?</b> <i>Yes =3; No =0</i>				
26	<b>Has General Contractor constructed LEED-certified buildings?</b> <i>Yes =5; No =0</i>				
27	<b>Has General Contractor constructed/renovated correctional/jail/institutional facilities buildings with ADA?</b>				

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	<i>Yes =5; No =0</i>				
28	<b>Has General Contractor experience of building similar size projects?</b> <i>Yes =5; No =0</i>				
29	<b>Has General Contractor experienced Project Manager and Superintendent?</b> <i>Yes =5; No =0</i>				
30	<b>Has general Contractor QA/QC Manager for devoting to this project?</b> <i>Yes =5; No =0</i>				
<b>Total for Scored Contractor Questions</b> <b>Minimum Qualifying Score is 100</b>					

**Summary of Scored Questions for Contractor**

Section	Section Score
<b>Part II: Essential Requirements For the Contractor</b> (If score is greater than zero (0), Contractor is Disqualified)	
<b>Part III. Scored Questions for the Contractor</b> (Minimum qualifying score is <b>100</b> points out of Maximum Score of 150)	
<b>Part V: Reference Interviews Questions</b> (Minimum qualifying score is <b>98</b> points out of Maximum Score of 140)	